# ENERGY EVOLUTION OF GRAVITATIONAL-GRANULAR FLOWS

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#### Abstract

The catastrophe of natural disasters such as landslides, is a prevalent phenomenon in natural terrain conditions in high mountainous areas; however, the mobility of such landslides has not yet well understood due to the discrete nature of material and the coming to play of water. In this paper, we numerically study the mobility of an unsaturated gravitational-granular flow, occurring on a slope-break system that contains two regions: inclined-upstream and horizontal-downstream areas, by using three-dimensional discrete element simulations. A sliding volume composed of spherical grains collapses on the first region, then plunges and deposits on the second one. The upstream-plunging length and the cohesive stress exerted on grains affect differently on the energy evolution not only in the whole process but also in different regions and directions depending on the inclination angle. These findings provide a deep understanding of the mechanism and mobility of landslides, leading to good predictions about the potential impacts of the catastrophic landslides on buildings and human lives.

Keywords: cohesive stress; discrete element method; flow mobility; kinetic energy; plunging length.

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# 1. Introduction

Landslides are a common natural phenomenon in high mountainous areas [1–4]. Due to the gravitational effects, configurations, and material properties, such natural events commonly generate large kinetic energy and seriously impact building and human lives [5–8]. However, our understanding of the characteristics and mobility of such gravitational-granular flows arising from these landslides, especially unsaturated soil conditions, is still very limited due to the difficulty in performing and measuring large experiments.

To comprehensively understand and predict the characteristics and mobility of landslides, a numerical experiment is well-known as an effective method. Different numerical methods such as Material Point Method (MPM) [9, 10], Smooth Particle Hydrodynamics (SPH) [11–13], and Discrete Element Method (DEM) [14, 15] have been used for simulating landslides. DEM model is well known as an initial step for investigating and confirming the flow mobility of granular materials because this method has the advantage of easily considering the particle size distribution, changing the grain properties and model configurations, as well as assessing the microscopic properties to demonstrate the

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origins of macroscopic properties [16, 17]. Indeed, by using DEM, different effects of slope morphology, aspect ratio, column thickness, and sliding volume of material on the flow mobility of granular materials have been investigated and explained [18–22].

However, most of the previous studies on flow mobility are mainly concerned with dry materials, without varying slope angles and changing plunging lengths. As a result, it is difficult to get a better understanding of the mobility of granular materials. Recently, Vo and co-workers [15] numerically investigated the effects of slope angle and volume of material on the mobility of gravitational-granular flows utilizing the discrete element method. The results showed that the slope angle strongly affects the mobility of granular flows whereas the sliding volume slightly modifies the energy evolution, and a nice correlation between the runout distance and kinetic energy was introduced [15]. The findings provided evidence for getting a better understanding of the flow mobility of granular materials on a slope-break surface, however, the effects of the plunging length in the upstream region and the cohesive stress between grains should be also studied.

In this paper, we further study the granular flow's mobility by analyzing its energy evolution, during the whole process and also in different directions and different regions by investigating the effects of the upstream-plunging length and the cohesive stress between grains. Results obtained from this research show that the kinetic energy of the flow increases simultaneously with the upstream-plunging length, whereas the cohesive stress between the core particles material tends to reduce the average kinetic energy of the flow. It is remarkable to see that the kinetic energy in the whole process, in the vertical directions of the upstream region, and horizontal one of downstream area can be introduced almost as a nearly linear function of the slope angle for different values of the upstream plunging length. The results are expected to initially predict the dependences of the energy evolution of the granular flows on the upstream-plunging length, cohesive stress, and inclination angle, leading to complementing a better understanding of the mobility of unsaturated granular flows on complex surfaces, and providing a basis for making more accurate predictions about the characteristics of land-slide flows as well as good bases for conducting research on landslides at different rates and phases of flow.

# 2. Numerical simulation and parameters

The simulations are performed based on the theory of discrete element method, where the position of the particles and the component forces are continuously being calculated and updated by the Newton's second law. Thanks to that, this numerical approach has been proved to be suitable for investigating the granular material in general and granular flow in particular.

In this ongoing work, we use a code program which named cFGdFlow-3D, originally developed by Patrick Mutabaruka and his colleagues [23]. This coding program is continuously getting updates and being improved by Vo Thanh Trung in order to apply different configurations of simulations for granular material [24, 25], including the



Figure 1. A schematic drawing representation the interactions between three particles i, j, and k

one in this current paper. Firstly, the grains are modeled as rigid bodies and they can interact with others by considering the solid interaction forces and liquid forces [26]. As an example, we consider a

case of three primary wet particles (as shown in Fig. 1), particle *i* can interact with particle *j* via both solid and capillary contact, meanwhile, particle *i* only interacts with particle *k* via a capillary bond. A solid interaction contact can be characterized by the normal contact force  $f_n$  and the tangential contact force  $f_t$ , a capillary contact can be characterized by the capillary cohesive force  $f_c$ .  $f_n$  is well-known as a sum of the normal elastic force and normal damping component,  $f_t$  is obtained by considering the Coulomb friction law, and the cohesive force  $f_c$  is calculated via the liquid-vapor surface tension  $\gamma_s$ , obtained via the cohesion pre-factor  $\kappa = 2\pi\gamma_s$  [27]. Therefore, the movement equation of particle *i* with radius **R**<sub>i</sub> and mass **m**<sub>i</sub> in the program will be:

$$m_i \frac{d^2 s_i}{dt^2} = \sum \left\{ \left( f_n^{ij} + f_c^{ij} \right) n^{ij} + f_t^{ij} t^{ij} \right\} + \sum f_c^{ik} n^{ik} + m_i g$$
(1)

where  $s_i$  is the position vector of grain *i*; *n* and *t* are the normal unit vector and tangential unit vector between two particles in contact. In which, *n* is perpendicular to the contact plane between particle *i* and *j* ( $n^{ij}$ ) or between particle *i* and *k* ( $n^{ik}$ ), *t* has the direction opposite to the relative tangential displacement between particle *i* and *j* ( $t^{ij}$ ).



Figure 2. Collapse model of a sliding volume on a slope-break surface in x - z view

Subsequently, the current work is prepared and simulated via four different stages:

1) Creating a sliding volume by a package of primary particles putting randomly in a rectangular box.

2) Applying an isotropic compression on all six sides of the box until reaching a dense configuration.

3) Putting this configuration at the top of the inclined upstream region then releasing all the walls of the box except for the two restrictions on the *x*-direction. The rough surfaces of the upstream and downstream region are created by gluing particles that have the same shape, size, and mass. The size of these particles is equivalent to the mean particle diameter ( $\langle d \rangle \approx 1.2 \text{ mm}$ ) modelled in the granular assembly. These particles are arrayed and immobilized during the collapse process of granular materials. The definition of this failure surface can partly reflect the phenomenon of granular flows down in reality.

4) Releasing the front wall in the *x*-direction. The particles will be moved under the gravitational force and create a granular flow on a slope-break surface.

Concerning the particles' diameters, by setting them to be distributed uniformly from the smallest  $d_{\min}$  to the largest size  $d_{\max}$  with a correlation that  $d_{\max}/d_{\min} = 2$ , this distribution can avoid the

crystallization effects in granular media, implying to prevent particles arrangement as ordered structures. In this study, we only use one case of the particle size distribution. Subsequently, the slope angle is continuously varied in a wide range from  $25^{\circ}$  to  $60^{\circ}$ , these values of the slope angle exceed the angle of repose of modeled granular material, also reflects the most common cases of sliding angle on mountainous area [15]. In terms of the sliding volume's dimensions, the initial height  $h_0$ is fixed at 0.0290 m, while the initial length  $l_0$  of the box is declared by the author. As reported by Zhang et al. [19] when considering the collapse dynamics and deposition morphology of granular columns on a horizontal plane, the increase of the column's thickness leads to an increase of the kinetic energy of the flows, implying that the use of two-dimensional (2D) or three-dimensional (3D) model affects the flow mobility of granular materials. Concerning the expectations of predicting the mobility of landslides in the current paper and limitations of the DEM simulations, a 3D model is preferably considered with a not-too-large width of the model, fixed closely to 17.5 times the mean particle diameter  $\langle d \rangle$ . We choose two different values of  $l_0$  (0.0550 m and 0.0653 m), corresponding to two volume cases, representing two different numbers of primary particles (25,752; 30,420). In our current work, three plunging lengths of the inclined surface  $l_1 = \{0.10; 0.15; 0.20\}$  m and four other cases of cohesive stress  $\sigma_c = \{0.0; 41.7; 83.3; 187.5\}$  N/m<sup>2</sup> are used for considering their impact on the mobility of the granular flow.

The material properties used in the current work are chosen as a common case of granular materials normally modelled at particle-scale level by the discrete element method to investigate the energy evolution during the collapse and deposition of granular columns. Indeed, Wu et al. [28] used a small-scale numerical model to predict the flow mobility and runout behavior of granular materials, this was also nicely validated with an experimental work. In our ongoing work, the numerical model extends our previous investigation [15], this is also validated with a theoretical analysis. Our previous results confirm that the DEM simulations can well predict flow mobilities and runout distance of granular flows. In which, the normal stiffness or grains took the prevalent value of  $10^6 \text{ Nm}^{-1}$ , whereas the tangential stiffness constitutes 80% of normal stiffness. Meanwhile, the tangential damping and normal damping are equal  $\gamma_n = \gamma_t = 0.5 \text{ Nsm}^{-1}$ . As a consequence of choosing these values, the normal and tangential deflection is imposed to be approximately  $10^{-3}$  times with the mean diameter of two grains in contact and the dynamic part of the flow is expressed. The time step t is chosen to be small enough to capture all the changes of the energy evolution. In summary, we list the principal parameters and their values in the Table 1.

Parameter	Symbol	Value	Unit
Largest particle diameter	d <sub>max</sub>	1.6	mm
Particles' density	ho	2600	$kg m^{-3}$
Coefficient of friction	$\mu$	0.3	-
Normal stiffness	$k_n$	$10^{6}$	N/m
Tangential stiffness	$k_t$	$8 \times 10^{5}$	N/m
Normal damping	$\gamma_n$	0.5	Ns/m
Tangential damping	$\gamma_t$	0.5	Ns/m
Inclination angle	$\alpha$	[25,60]	0
Cohesive stress	$\sigma_c$	{0,187.5}	$N/m^2$
Plunging length	$l_1$	$\{0.10; 0.15; 0.20\}$	m
Time step	$\Delta t$	$1.2 \times 10^{-7}$	sec.

Table 1. Main parameters used in all simulations

### 3. Results and discussions

The mobility of gravitational-granular flow, expressed by its energy evolution, is greatly impacted by not only the sliding volume's parameters such as the volume of the sliding block (or could be understood as the length of the box  $l_0$  that grows as the number of the grains increases) and the cohesive stress between particles ( $\sigma_c$ ), but also the characteristics of the upstream region via the inclination angle and plunging length of this surface.

To commence, we study the effect of changing these parameters on the normalized kinetic energy of the whole system. The mean value of the kinetic energy  $\langle E \rangle$  is normalized by the initial average potential energy of the grains  $\langle E_p \rangle$  in the sliding volume. Fig. 3 displays the evolution of kinetic energy of the landslide at different normalized time instants  $t/t_c$ , which  $t_c$  is a constant value that denotes the time required for a particle with average diameter  $\langle d \rangle$  to fall vertically a distance  $\langle d \rangle$ under the effect of gravity, for different cases of study (noted under the figures), where the symbol  $\langle \ldots \rangle$  will represent the calculation of a mean. Overall, both plots in Fig. 3 share the same tendency where the kinetic energy starts from the repose status, then climbs rapidly to reach a peak of value  $\langle E_{\alpha}_{max}$ , followed by a sharp decrease and finally gradually declines back to the deposition stage.



Figure 3. Normalized kinetic energy of the granular block collapses on a rigid granular surface by considering different parameters

In particular, Fig 3(a) demonstrates the effects of the upstream-plunging length on the flow mobility of granular materials. Remarkably, the rate of increasing the normalized kinetic energy is the same when the upstream-plunging length is varied. However, the peak value of the normalized kinetic energy increases with increasing the lunging length of the upstream area, implying that the larger upstream-plunging length leads to higher kinetic energy. Interestingly, after reaching the peak, the declining rate of both lines seems to be dependent on the plunging length.

Contrary to the effects of the upstream-plunging length, the cohesive stress between grains leads to reduction the kinetic energy of the flows. Indeed, Fig. 3(b) considers the evolution of the normalized kinetic energy in the whole collapse process as a function of the normalized collapse time by changing the cohesive stress exerted on each grain. These observations confirm the cohesive effects of material in nature, this cohesive stress surely helps to decrease the kinetic energy of the granular flow in landslides. Indeed, the rate to climb to the peak and its value witness a gradually decline, opposed to the raise of cohesive component.

Besides the kinetic energy of the whole process, it is still fundamental to investigate its smaller components, dividing by directions and by regions, which are in vertical  $\langle E_z^u \rangle$  and horizontal  $\langle E_x^d \rangle$  in upstream region, and vertical  $\langle E_z^d \rangle$  and horizontal  $\langle E_x^d \rangle$  in downstream region in order to represent the

energy evolution. Consequently, we could now study the dependence of the mobility of the landslide flow on each element of the four parameters that we are changing.

Fig. 4 displays the correlation between the normalized kinetic energy on upstream  $(\langle E_z^u \rangle, \langle E_x^u \rangle)$ and downstream  $(\langle E_z^d \rangle, \langle E_x^d \rangle)$  areas, in vertical and horizontal directions, respectively, as a function of the normalized collapse time for three value of the upstream-lunging length  $l_1$  while fixing the sliding block's volume and the inclination angle (45°). Similarly, these graphs share the same trend which belongs to the mean kinetic energy of the whole process  $\langle E \rangle$  when they climb to hit the highest point then decline back to zero. Through these charts, we could be certain that the rate of occurring to the highest value of energy and the decreasing rate to the stable status are nearly independence of the plunging length. On the contrary, this factor makes the highest value of components of kinetic energy higher and longer to achieve when it increases.

In order to analyze the influences of the cohesion of wet granules on the kinetic energies on both regions, we keep the sliding volume, inclination angle and plunging length of the inclined plane unchanged as in Fig. 5. Sharing the same trend with  $\langle E \rangle$ , the value of  $\langle E_z^u \rangle$ ,  $\langle E_x^u \rangle$ ,  $\langle E_z^d \rangle$  and  $\langle E_x^d \rangle$  initially witness a staggering increase, but their maximum value and their rate to go up seem to fall according to the growth of the cohesive force. Nevertheless, the drier granular material, the more time it takes the modelization to finish the depositing process.



Figure 4. Evolution of different normalized kinetic energies as a function of the normalized collapse time for three values of the upstream length  $l_1$  with a given value  $l_0 = 0.0550$  m and  $\alpha = 45^{\circ}$ 



Figure 5. Evolution of different normalized kinetic energies as a function of the normalized collapse time for three values of the cohesive stress  $\sigma_c$  with a given value  $l_0 = 0.0653$  m,  $l_1 = 0.1$  m, and  $\alpha = 40^{\circ}$ 

In order to comprehensively highlight the effects of the upstream-plunging length  $l_1$  on the evolution of kinetic energy of landslide flows with different slope angles  $\alpha$ , the relation between  $l_1$  and the maximum value of the normalized mean kinetic energy in the whole process and in different regions and directions are considered, as shown in Fig. 6 and Fig. 7, respectively.



Figure 6. Evolution of  $(\langle E \rangle / \langle E_p \rangle)_{\text{max}}$  as a function of the slope angle for three values of the plunging length  $l_1$  with a given value  $l_0 = 0.0550$  m for dry granular materials



Figure 7. Maximum values of different normalized kinetic energies as a function of  $\alpha$  for three values of the plunging length  $l_1$  with a given value  $l_0 = 0.0550$  m for noncohesive granular materials

As being shown in Fig. 6, the values of  $(\langle E \rangle / \langle E_p \rangle)_{max}$  increase nearly linear with  $\alpha$  for all cases of  $l_1$ , but with the rate that increases with increasing the upstream-plunging length. In particular, the upstream-plunging length  $l_1$  slightly affects the peak value of kinetic energy for lower slope surface but significantly governs this energy for higher slope angle. These findings clearly provide a good understanding of the evolution of kinetic energy increased during the landslide flows with different cases of the terrain slope angle and the upstream-plunging length.

Most of the other components of kinetic energy share the same tendency with  $(\langle E \rangle / \langle E_p \rangle)_{max}$  as they raise simultaneously with the increase of  $\alpha$  and  $l_1$ . A quite similar presentation of  $(\langle E_z^u \rangle / \langle E_p \rangle)_{max}$ and  $(\langle E_x^d \rangle / \langle E_p \rangle)_{max}$  in Fig. 7 with  $(\langle E \rangle / \langle E_p \rangle)_{max}$  in Fig. 6 is recorded, as they express almost as a linear function. The nonlinear phenomenon of  $(\langle E_x^u \rangle / \langle E_p \rangle)_{max}$  and  $(\langle E_z^d \rangle / \langle E_p \rangle)_{max}$ , respectively shown in Figs. 7(b) and (c), may be well explained due to the strong transformation of energy from the vertical direction to the horizontal one in the heap stage as a consequence of changing the slope angle. Remarkably, the effects of  $\alpha$  manifests un-similarly with the others on the normalized horizontal kinetic energy on upstream area, as shown in Fig. 7(b). In particular,  $(\langle E_z^u \rangle / \langle E_p \rangle)_{max}$  first soars to the highest value when the slope angle is increased up to about 45°, then suddenly plummets from this point on to the higher value of inclination angle. These observations clearly show the physical behavior of common gravitational-granular flows occurred in landslide events in mountainous areas or near the hydraulic constructions.

# 4. Conclusions

Through this paper, we have studied the influence of the sliding inclination angle, upstreamplunging length, and cohesive stress exerted on each grain on the movement characteristics of the flow of granular materials utilizing the discrete element method. Results obtained from the model show that the inclination angle of the sliding surface and the length of the upstream sliding zone have different influences on the energy evolution of the flow, while the cohesive stress between the particles tends to reduce the average kinetic energy. It is worth to remark that the maximum normalized average kinetic energy of the whole process, and the normalized average kinetic energy value in the vertical and horizontal directions respectively in the upstream and downstream surfaces are expressed as different functions of the inclination angles, correspond to different values of the upstream plunging length. This leads to providing evidence for predicting the evolution and magnitude of kinetic energy according to different conditions of the sliding surface and material properties in reality.

Although the findings observed in this current work show the detailed influence of the inclination angle, upstream-plunging length, and cohesive stress on the energy evolution of gravitational-granular flows, these numerical results were only obtained for common cases of material properties used in DEM instead of considering real granular materials, without considering the pore water pressure, the effects of interparticle friction coefficient and particle shape, or fixing the failure angle during the process. These assumptions may lead to the slight influence on the mobility of granular flows.

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